

http://dx.doi.org/10.3346/jkms.2014.29.1.48 • J Korean Med Sci 2014; 29: 48-52



# Central Lymph Node Metastasis Is an Important Prognostic Factor in Patients with Papillary Thyroid Microcarcinoma

Jeonghun Lee, Yeongpeck Song, and Euv Young Soh

Department of Surgery, Ajou University School of Medicine, Suwon, Korea

Received: 20 May 2013 Accepted: 22 October 2013

Address for Correspondence:
Jeonghun Lee, MD
Department of Surgery, Ajou University School of Medicine,
164 Worldcup-ro, Yeongtong-gu, Suwon 443-721, Korea
Tel: +82.31-219-5200, Fax: +82.31-219-5755
E-mail: servent-lee@hanmail.net

Papillary thyroid microcarcinoma (PTMC) has been increasing, without a consensus for the management of this condition. In the present study, we analyzed the clinicopathological features of patients with PTMC to examine the impact of initial therapy and establish appropriate treatment. A total of 2,018 patients with PTMC were enrolled at a single university hospital. Of them, 1,245 patients (61.8%) underwent total thyroidectomy, and 1,838 patients (91.3%) underwent central lymph node (LN) dissection. Five-and 10-yr recurrence rates were 3.2% and 4.6%, respectively. In univariate analysis, the prognostic factors for recurrence were N stage, the number of LN metastases, and extrathyroidal extension. However, multivariate analysis revealed LN metastases and N stage as the only significant prognostic factors after adjusting for confounding factors (P < 0.001). Additionally, multivariate analysis of a subgroup consisting of PTMC patients without N1b revealed the number of central LN metastases as the only significant factor. Therefore, intraoperative examination for central LN metastasis may discriminate high or low risk group.

Keywords: Papillary Thyroid Carcinoma, Lymph Node Metastasis, Recurrence

# **INTRODUCTION**

Papillary thyroid cancer (PTC) is the most common endocrine malignancy (1) and accounts for about 80% of thyroid cancers (2). Additionally, papillary thyroid microcarinoma (PTMC) accounts for 36%-60% of thyroid cancers (3, 4). While PTMC is indolent and grows slowly, PTMC with particular biological characteristics, such as clinically apparent node metastasis and extrathyroidal extension, are progressive.

According to several guidelines, total thyroidectomy or completion thyroidectomy is recommended for patients who have PTMC with radiologically or clinically detected cervical nodal metastasis, multifocal malignancy lesions, or extrathyroidal extension (5, 6). However, the management of PTMC varies from observation to total thyroidectomy (3, 7). A lobectomy is preferred over a total thyroidectomy and radioactive iodine (RAI) therapy in Japan, which is not the case in western countries. In addition, central compartment lymph node (LN) management is another subject of debate. The 2009 guideline from the American Thyroid Association (ATA) recommends therapeutic central compartment LN dissection. However, the European Thyroid Association (ETA) recommends prophylactic central compartment LN dissection as a useful strategy for subsequent treatment and follow-up (5, 8). This difference in management may be attributed to varying clinicopathological features among countries. For example, the frequency of the BRAF mutation is

45%-80% and the frequency of LN metastases is 14%-64% (9-12). Therefore, we retrospectively investigated clinicopathological features and prognostic factors for recurrence to determine proper initial surgical therapy in patients with PTMC.

## **MATERIALS AND METHODS**

The records were reviewed for all patients with PTMC who were treated at Ajou University Hospital during 1994-2010. There were 2,018 patients who underwent primary surgical therapy at Ajou University Hospital with 5,865 person-years of follow-up. The median follow-up was 3.0 yr, and the longest follow-up was 16 yr. Death information for patients was confirmed from National Cancer Information Center. Death was due to PTC in seven cases.

## **Statistics**

Comparisons of risk characteristics were performed using the chi-square tests or Fisher's exact test as necessary. Survival from the date of initial surgery to recurrence was analyzed using the Kaplan-Meier method. The log-rank test was used to determine group differences in cumulative recurrence-free curves. All tests were two-sided, with an alpha level of 0.05. All calculations were performed using SPSS 17.0 software (SPSS, Inc. Chicago, IL, USA).



#### **Ethics statement**

This study was approved by the institutional review board at Ajou University Hospital (IRB No. AJIRB-MED-MDB-10-337). Informed consent was waived by the board.

# **RESULTS**

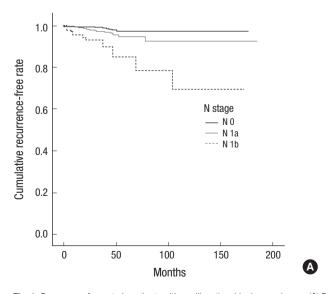
Total thyroidectomy was performed in 61.8% of 2,018 patients with PTMC, and central LN dissection in 1,838 (91.3%) patients. The remaning patients (9.7%) with occult PTMC did not undergo central LN dissection, because of preoperative benign diagnoses of Graves' disease, multinodular goiter, etc. Central LN metastases were present in 593 (29.4%) patients. RAI therapy was performed in 21.9%. Extrathyroidal extension and multiplicity were found in 48.8% and 30.1% respectively. Bilateral cancer of the thyroid was seen in 30.2% of total thyroidectomy. Recurrence from the remnant thyroid accounted for 39% of 41 total recurrences, and a recurrent tumor in a previously dissected central compartment was found in two patients. Lateral cervical LN metastases accounted for 60.9% of recurrences. There were 64 patients for whom the number of central LN metastases was not described in pathological reports. Metachronous distant metastases were found in 7.3% (Table 1).

In univariate analysis for recurrence-free rate, extrathyroidal extension, N stage (Fig. 1A) and number of LN metastases (Fig. 1B) were found to be significant prognostic factors; however, neither the extent of thyroidectomy or RAI therapy were significant prognostic factors (Table 2). In additional univariate analysis of a subgroup consisting of PTMC patients with total thyroidectomy and central LN dissection, RAI therapy was not a significant prognostic factor (P = 0.315). In multivariate analysis, both N stage and number of LN metastases were prognostic factors (Table 3). There was no significant difference between the

**Table 1.** Clinicopathologic features of the 2,035 patients with papillary thyroid micro-carcinoma

Variables		Clinicopathologic features
Age (yr)		45.3 ± 11.08
Gender (M/F)		1:5.8
Total thyroidectomy		1,245 (61.8%)
Central neck dissection		1,838 (91.3%)
Lateral neck dissection		95 (4.7%)
Radioiodine therapy		422 (21.9%)
Tumor size (cm)		$0.63 \pm 0.25$
Extrathyroidal extension		984 (48.8%)
Multiplicity		608 (30.1%)
Subclassification Classical Follicular Diffuse sclerosing Oncocytic Clear cell Solid Macrofollicular Number of LN metastases		1,872 (92.9%) 131(6.5%) 3 (0.1%) 8 (0.3%) 1 2 1
≤ 1 ≥ 2		1,394 (75.6%) 383 (20.8%)
T stage	T1 T3 T4	1,012 (50.2%) 957 (47.5%) 27 (1.3%)
N stage	NO N1a N1b	1,155 (57.3%) 593 (29.4%) 95 (4.7%)
M stage		7 (0.3%)
BRAF mutation		393/519 (75.7%)
Hoarseness		38/2,013 (1.8%)
Permanent hypoparathyroi	dism (1 yr)	57/1,245 (4.5%)
Recurrence	Remnant thyroid Central neck, previous dissected	16/41 (39.0%) 2/41 (4.8%)
	Lateral neck Distant metastasis Unknown site	25/41 (60.9%) 3/41 (7.3%) 2/41 (4.8%)

LN, lymph node; BRAF, v-raf murine sarcoma viral oncogene homolog B1.



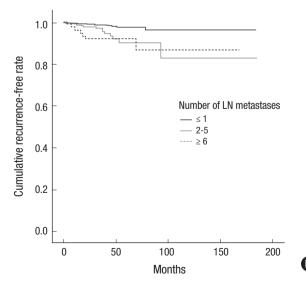


Fig. 1. Recurrence-free rate in patients with papillary thyroid microcarcinoma. (A) Related to N stage, (B) number of LN metastases.



Table 2. Univariate analysis for recurrence-free rate in PTMC patients

Variables	Patients No. (%)	Recurrences No. (%)	10 yr recurrence free rate (%)	Univariate analysis, P
Gender	007 (4.4.7)	0 (0 0)	70.0	0.361
Males Females	297 (14.7) 1,721 (85.2)	8 (2.6) 33 (1.9)	79.3 94.6	
Age at first treatment	1,721 (00.2)	00 (1.0)	0 1.0	0.915
< 45 yr	1,012 (50.1)	22 (2.1)	92.7	
≥ 45 yr	1,006 (49.8)	19 (1.8)	91.3	0.004
Familial history with ≥ 3 1° familial members with thyroid cancer Absent	1,837 (92.3)	39 (2.1)	91.6	0.891
Present	153 (7.7)	3 (2.0)	97.5	
Extent of thyroidectomy				0.639
Total	1,245 (61.6)	26 (2.1)	91.2	
Less than total Primary tumor size	773 (38.3)	14 (1.9)	93.5	0.409
≤ 5 mm	857 (42.5)	13 (1.5)	91.5	0.409
> 5 mm	1,161 (57.5)	28 (2.3)	92.3	
Multiplicity				0.691
Absent Present	1,399 (69.3) 608 (30.6)	29 (2.1) 13 (2.2)	92.9 87.8	
Extrathyroidal extension	000 (30.0)	13 (2.2)	07.0	0.022
Absent	1,012 (50.2)	13 (1.2)	95.0	
Present	984 (48.7)	26 (2.6)	89.7	
Subclassification Classical	1,872 (92.7)	39 (2.0)	92.7	0.848
Follicular	131 (6.4)	3 (2.2)	91.7	
Number of LN metastases	,	,		< 0.001
≤1	1,394 (75.6)	15 (1.0)	96.4	
≥ 2 T stage	383 (20.8)	20 (5.2)	83.9	0.230
T1	1,012 (50.1)	15 (1.4)	94.5	0.230
T3	957 (47.4)	23 (2.4)	89.9	
T4	27 (1.3)	1 (3.5)	96.3	2.224
N stage Nx	175 (8.6)	3 (1.7)	94.4	< 0.001
NO	1,155 (57.2)	11 (0.9)	97.4	
N1a	593 (29.3)	17 (2.8)	92.7	
N1b	95 (4.7)	10 (10.5)	67.3	0.100
Radioiodine therapy Yes	422 (21.9)	10 (2.3)	95.8	0.193
No	1,500 (78.0)	20 (1.3)	94.9	

Table 3. Multivariate analysis for recurrence-free rate in PTMC patients

Variables	P value	Exp (B)
Number of LN metastases	0.032	3.78
N stage	0.001	
N1a	0.735	0.8
N1b	0.115	3.16
Extrathyroidal extension	0.306	1.49

Table 4. Relationship between bilaterality and the number of metastatic LNs

Total number of	Bilaterality		- P value
metastatic LNs	Absent	Present	- P value
0-1	1,154 (82.2%)	251 (17.9%)	< 0.001
2-3	170 (81.6%)	39 (18.7%)	
4-5	50 (68.9%)	22 (30.6%)	
≥ 6	60 (60.6%)	39 (39.4%)	

patients without LN metastasis and with one LN metastasis (data not shown). The number of metastatic LN was correlated with

Table 5. Multivariate analysis for prognostic factors in PTMC patients without N1b

Variables	P value	Exp (B)
Number of LN metastases	< 0.001	
2-3	0.127	2.1
≥ 4	< 0.001	9.2
N stage	0.992	

bilaterality of cancer (Table 4) and recurrence (Table 5). Therefore, either lobectomy or subtotal thyroidectomy can be performed in patients with one LN metastasis or no LN metastasis.

# **DISCUSSION**

Controversy exists regarding the extent of thyroidectomy and central LN dissection in patients with PTMC. Retrospective studies have reported that recurrence within the thyroid bed decreases in patients who have undergone total thyroidectomy

compared with those treated with subtotal thyroidectomy (13, 14). However, other thyroid cancer specialists have reported that unilateral lobectomy is sufficient as an initial therapy for low-risk patients with papillary and follicular thyroid cancer because of low mortality and high complication rates reported with a more extensive thyroidectomy (15-17).

The frequency of bilateral thyroid carcinoma in low-risk patients who undergo total thyroidectomy is 16.7%-38% (17-20). Among the 1,244 patients in our study, 30.3% had contralateral carcinoma. This incidence rate was similar to previously reported rates for thyroid carcinoma in the contralateral lobe. The recurrence in the remnant contralateral lobe was 1.6% of 772 patients who underwent either lobectomy or subtotal thyroidectomy in our study. The incidence of recurrent thyroid cancer occurring in the residual contralateral lobe of the thyroid gland is 4.7%-46% in such patients (19). In our study, the recurrence rate of the remnant thyroid was lower than previously reported rates. This low recurrence rate may be attributed to our lobectomy criteria. At our institution, lobectomy is performed in patients without clinical LN metastasis, tumor multiplicity, macroscopic extrathyroidal extension and familial history. Another cause of low recurrence in our study may be that the high incidence of bilateral thyroid cancer may not always be clinically significant (20). However, total thyroidectomy can be considered in PTMC patients with more than three central LN metastases, because the recurrence rates between total thyroidectomy and less than total thyroidectomy were not statistically different in our study due to one of several selection (or therapeutic) biases (Table 4, 5) The general consensus is that a central LN dissection is indicated when LN are discovered preoperatively on ultrasound (US) or clinical examination (21, 22). However, prophylactic central LN dissection was performed in patients without clinically apparent central LN metastasis in our institution because of some advantages. Firstly, although US has been regarded as a sensitive imaging modality for LN metastasis screening and diagnosis, it has a low sensitivity in evaluation of LN metastasis in central compartment (23) and the accuracy of preoperative US for central LN metastasis is lower than that of lateral cervical LN metastasis (24). Secondly, central LN dissection was reported to reduce RAI dosage (18) and rate of postoperative detectable thyroglobulin (Tg), which is related with recurrence (25). Thirdly, reoperative central LN dissections represent significant risk of recurrent larvngeal nerve injury and permanent hypoparathyroidism due to the presence of scar tissue and distorted anatomy (26). Fourthly, number of LN metastases was correlated with both recurrence and bilaterality of cancer. Despite these merits of central LN dissection, it is related with the risk of hypoparathyroidism. The frequency of permanent hypoparathyroidism ranges from 2.7%-25% after total thyroidectomy and central compartment LN dissection (18, 27, 28). The frequency of permanent hypoparathyroidism

was 4.5% at our institution, which was similar to previous reported rates. If central LN dissections were performed by skilled surgeons, the frequency of permanent hypoparathyroidism may decrease. Therefore, prophylactic central LN dissection can be recommended in PTMC patients. Otherwise, intraoperative examination of ipsilateral central LN metastasis may be useful for prognosis of patients with PTMC and in tailoring postoperative management (29).

This study has several limitations. Firstly, the mean follow-up period was relatively short, because of most of the subjects (88%) had been enrolled after 2006. Secondly, we could not investigate the relationship between LN micrometastasis and recurrence-free rate because usually one or two tissue block from each lymph node had been sliced and stained with hematoxy-line-eosin. Thirdly, we could not define the effectiveness of radioactive iodine therapy in intermediate-risk group for recurrence. Therefore, these problems should be investigated in a future prospective randomized controlled study.

In conclusion, lobectomy may be sufficient for initial surgery in selected patients with PTMC, and intraoperative examination for central LN metastasis may be performed to predict the recurrence and to tailor postoperative management.

### **DISCLOSURE**

We declared no competing interests.

## REFERENCES

- Vasileiadis I, Karakostas E, Charitoudis G, Stavrianaki A, Kapetanakis S, Kouraklis G, Karatzas T. Papillary thyroid microcarcinoma: clinicopathological characteristics and implications for treatment in 276 patients. Eur J Clin Invest 2012; 42: 657-64.
- Lee NS, Bae JS, Jeong SR, Jung CK, Lim DJ, Park WC, Kim JS, Kim SN. Risk factors of lymph node metastasis in papillary thyroid microcarcinoma. J Korean Surg Soc 2010; 78: 82-6.
- Roti E, Rossi R, Trasforini G, Bertelli F, Ambrosio MR, Busutti L, Pearce EN, Braverman LE, Degli Uberti EC. Clinical and histological characteristics of papillary thyroid microcarcinoma: results of a retrospective study in 243 patients. J Clin Endocrinol Metab 2006; 91: 2171-8.
- 4. Cho BY. Clinical thyroidology. 3rd ed. Seoul: Korea Medical Book, 2010.
- 5. Cooper DS, Doherty GM, Haugen BR, Kloos RT, Lee SL, Mandel SJ, Mazzaferri EL, McIver B, Pacini F, Schlumberger M, et al. Revised American Thyroid Association management guidelines for patients with thyroid nodules and differentiated thyroid cancer. Thyroid 2009; 19: 1167-214.
- 6. Tuttle RM, Ball DW, Byrd D, Dilawari RA, Doherty GM, Duh QY, Ehya H, Farrar WB, Haddad RI, Kandeel FK, et al. NCCN clinical practice guidelines in oncology: thyroid carcinoma. Available at http://www.nccn.org/professionals/physician\_gls/pdf/thyroid.pdf [accessed on 28 November 2013].
- Hay ID, Grant CS, Bergstralh EJ, Thompson GB, van Heerden JA, Goellner JR. Unilateral total lobectomy: is it sufficient surgical treatment for

- patients with AMES low-risk papillary thyroid carcinoma? Surgery 1998; 124: 958-64.
- 8. Pacini F, Schlumberger M, Dralle H, Elisei R, Smit JW, Wiersinga W; European Thyroid Cancer Taskforce. European consensus for the management of patients with differentiated thyroid carcinoma of the follicular epithelium. Eur J Endocrinol 2006; 154: 787-803.
- 9. Zhao Q, Ming J, Liu C, Shi L, Xu X, Nie X, Huang T. Multifocality and total tumor diameter predict central neck lymph node metastases in papillary thyroid microcarcinoma. Ann Surg Oncol 2013; 20: 746-52.
- Rodriguez JM, Moreno A, Parrilla P, Sola J, Soria T, Tebar FJ, Aranda E. Papillary thyroid microcarcinoma: clinical study and prognosis. Eur J Surg 1997; 163: 255-9.
- 11. Kim WJ, Bae MJ, Yi YS, Jeon YK, Kim SS, Kim BH, Kim IJ. *Clinicopathologic characteristics of papillary microcarcinoma in the elderly. J Korean Thyroid Assoc* 2013; 6: 69-74.
- 12. Wada N, Duh QY, Sugino K, Iwasaki H, Kameyama K, Mimura T, Ito K, Takami H, Takanashi Y. *Lymph node metastasis from 259 papillary thyroid microcarcinomas: frequency, pattern of occurrence and recurrence, and optimal strategy for neck dissection. Ann Surg 2003; 237: 399-407.*
- Hay ID. Papillary thyroid carcinoma. Endocrinol Metab Clin North Am 1990: 19: 545-76.
- DeGroot LJ, Kaplan EL, McCormick M, Straus FH. Natural history, treatment, and course of papillary thyroid carcinoma. J Clin Endocrinol Metab 1990: 71: 414-24.
- Buffet C, Golmard JL, Hoang C, Trésallet C, Du Pasquier Fédiaevsky L, Fierrard H, Aurengo A, Menegaux F, Leenhardt L. Scoring system for predicting recurrences in patients with papillary thyroid microcarcinoma. Eur J Endocrinol 2012; 167: 267-75
- Shaha AR. Implications of prognostic factors and risk groups in the management of differentiated thyroid cancer. Laryngoscope 2004; 114: 393-402
- 17. Koo BS, Lim HS, Lim YC, Yoon YH, Kim YM, Park YH, Rha KS. Occult contralateral carcinoma in patients with unilateral papillary thyroid microcarcinoma. Ann Surg Oncol 2010; 17: 1101-5.
- 18. Bonnet S, Hartl D, Leboulleux S, Baudin E, Lumbroso JD, Al Ghuzlan A, Chami L, Schlumberger M, Travagli JP. *Prophylactic lymph node dissection for papillary thyroid cancer less than 2 cm: implications for radioio-*

- dine treatment. J Clin Endocrinol Metab 2009; 94: 1162-7.
- Rose RG, Kelsey MP, Russell WO, Ibanez ML, White EC, Clark RL. Follow-up study of thyroid cancer treated by unilateral lobectomy. Am J Surg 1963; 106: 494-500.
- 20. Pasieka JL, Thompson NW, McLeod MK, Burney RE, Macha M. *The incidence of bilateral well-differentiated thyroid cancer found at completion thyroidectomy. World J Surg* 1992; 16: 711-6.
- 21. Borson-Chazot F, Causeret S, Lifante JC, Augros M, Berger N, Peix JL.

  Predictive factors for recurrence from a series of 74 children and adolescents with differentiated thyroid cancer. World J Surg 2004; 28: 1088-92.
- 22. Cooper DS, Doherty GM, Haugen BR, Kloos RT, Lee SL, Mandel SJ, Mazzaferri EL, McIver B, Sherman SI, Tuttle RM. Management guidelines for patients with thyroid nodules and differentiated thyroid cancer. Thyroid 2006: 16: 109-42.
- 23. Choi JS, Kim J, Kwak JY, Kim MJ, Chang HS, Kim EK. Preoperative staging of papillary thyroid carcinoma: comparison of ultrasound imaging and CT. AJR Am J Roentgenol 2009; 193: 871-8.
- 24. Shimamoto K, Satake H, Sawaki A, Ishigaki T, Funahashi H, Imai T. *Preoperative staging of thyroid papillary carcinoma with ultrasonography. Eur J Radiol* 1998; 29: 4-10.
- 25. Sywak M, Cornford L, Roach P, Stalberg P, Sidhu S, Delbridge L. Routine ipsilateral level VI lymphadenectomy reduces postoperative thyroglobulin levels in papillary thyroid cancer. Surgery 2006; 140: 1000-5.
- 26. Kim MK, Mandel SH, Baloch Z, Livolsi VA, Langer JE, Didonato L, Fish S, Weber RS. Morbidity following central compartment reoperation for recurrent or persistent thyroid cancer. Arch Otolaryngol Head Neck Surg 2004; 130: 1214-6.
- 27. Pattou F, Combemale F, Fabre S, Carnaille B, Decoulx M, Wemeau JL, Racadot A, Proye C. *Hypocalcemia following thyroid surgery: incidence and prediction of outcome. World J Surg 1998; 22: 718-24.*
- 28. Goretzki PE, Simon D, Frilling A, Witte J, Reiners C, Grussendorf M, Horster FA, Röher HD. Surgical reintervention for differentiated thyroid cancer. Br J Surg 1993; 80: 1009-12.
- Caliskan M, Park JH, Jeong JS, Lee CR, Park SK, Kang SW, Jeong JJ, Chung WY, Park CS. Role of prophylactic ipsilateral central compartment lymph node dissection in papillary thyroid microcarcinoma. Endocr J 2012; 59: 305-11.